

FINANCIAL CONNECTION, INC.



Code of Ethics

- FCI, as a fiduciary, has an affirmative duty of care, loyalty, honesty, and good faith to act in the best interests of its clients.
- FCI has a duty to disclose all material conflicts of interest to its clients.
- FCI does not accept gifts from clients, brokers, vendors or other persons with the exception of gifts of nominal value (*under \$100 in value*), and customary business meals.
- FCI shall comply with all laws and rules set by all regulators that oversee the applicable business activities of the firm.

This code shall apply to all employees of the firm.